Fourth Colloquium on Mathematics and Computer Science DMTCS proc. **AG**, 2006, 289–300

Multivariate generalizations of the Foata-Schützenberger equidistribution

Florent Hivert[1], Jean-Christophe Novelli[2] and Jean-Yves Thibon[2]

[1]*LITIS, Université de Rouen ; Avenue de l'université ; 76801 Saint Étienne du Rouvray, France*
[2]*Institut Gaspard Monge, Université de Marne-la-Vallée ; 77454 Marne-la-Vallée cedex 2*

A result of Foata and Schützenberger states that two statistics on permutations, the number of inversions and the inverse major index, have the same distribution on a descent class. We give a multivariate generalization of this property: the sorted vectors of the Lehmer code, of the inverse majcode, and of a new code (the inverse saillance code), have the same distribution on a descent class, and their common multivariate generating function is a flagged ribbon Schur function.

1 Introduction

The *major index* of a permutation, discovered by Major Percy Alexander MacMahon, and named after his military rank, is the sum of its *descents*

$$\mathrm{maj}(\sigma) = \sum_{\sigma(i)>\sigma(i+1)} i. \tag{1}$$

The maximum value of maj over the set $\mathfrak{S}_n$ of permutations of size n is $n(n-1)/2$, the same as for the inversion number inv, and MacMahon proved [12] (actually, he proved a similar result for an arbitrary rearrangement class of words, but in this paper, we will only deal with permutations) that both statistics have the same distribution

$$\sum_{\sigma\in\mathfrak{S}_n} q^{\mathrm{maj}(\sigma)} \sum_{\sigma\in\mathfrak{S}_n} q^{\mathrm{inv}(\sigma)} = [n]_q! \prod_{i=1}^{n} \frac{1-q^i}{1-q}. \tag{2}$$

More than fifty years later, Foata and Schützenberger [6] proved that equidistribution holds on a *descent class*:

$$\sum_{\mathrm{Des}(\sigma)=D} q^{\mathrm{maj}(\sigma^{-1})} \sum_{\mathrm{Des}(\sigma)=D} q^{\mathrm{inv}(\sigma)} \tag{3}$$

where $\mathrm{Des}(\sigma) = \{i\,|\,\sigma(i) > \sigma(i+1)\}$ is the descent set of σ. The original proof (and, up to recently the only one, *cf.* [11], chapter 11) of this result was bijective. In this note, we obtain a multivariate refinement of (3): we prove that, up to order, the three integer vectors to be defined below, namely the inverse Lehmer code $\mathrm{Ic}(\sigma^{-1}) = (c_1,\dots,c_n)$, the inverse major code $\mathrm{Mc}(\sigma^{-1}) = (m_1,\dots,m_n)$, and a new code $\mathrm{Sc}(\sigma^{-1}) = (s_1,\dots,s_n)$ have the same distribution on a descent class, that is, if $x_0,\dots,x_{n-1}$ are independent indeterminates

$$\sum_{\mathrm{Des}(\sigma)=D} \prod_i x_{c_i(\sigma^{-1})} \sum_{\mathrm{Des}(\sigma)=D} \prod_i x_{m_i(\sigma^{-1})} \sum_{\mathrm{Des}(\sigma)=D} \prod_i x_{s_i(\sigma^{-1})}. \tag{4}$$

Indeed, the codes are defined in such a way that

$$\sum_{i=1}^{n} c_i(\sigma^{-1}) = \mathrm{inv}(\sigma^{-1}) = \mathrm{inv}(\sigma), \quad \sum_{i=1}^{n} m_i(\sigma^{-1}) = \mathrm{maj}(\sigma^{-1}), \tag{5}$$

so that Equation (4) is a refinement of Equation (3).

Acknowledgements.- This project has been partially supported by CNRS. The authors would also like to thank the contributors of the MuPAD project, and especially those of the combinat package, for providing the development environment for this research (see [8] for an introduction to MuPAD-Combinat).

2 Notations

Alphabets and operations on words

In all the paper, we deal with a totally ordered infinite alphabet A, represented either by $\{a, b, c, \ldots\}$ or by $\{1, 2, 3, \ldots\}$. The *free associative algebra* over A is denoted by $\mathbb{K}\langle A\rangle$, where $\mathbb{K}$ is some field of characteristic zero. The *evaluation* $\mathrm{ev}(w)$ of a word w of size n over the alphabet $\{0, \ldots, n\}$ is the list of numbers of appearances $\mathrm{Card}\{i|w_i = a\}$ of all letters $a \in A$ in w. For example, the evaluation of 45143251812 is 032122001000. We denote by $\mathrm{id}_n = 12\cdots n$ the identity permutation of size n.
The *shuffle product* $w_1 \sqcup\!\sqcup w_2$ of two words w_1 and w_2 is recursively defined by $w_1 \sqcup\!\sqcup \epsilon = w_1$ and $\epsilon \sqcup\!\sqcup w_2 = w_2$, where ϵ is the empty word, and

$$au \sqcup\!\sqcup bv = a(u \sqcup\!\sqcup bv) + b(au \sqcup\!\sqcup v), \qquad a, b \in A, \quad u, v \in A^*. \tag{6}$$

For example,

$$12 \sqcup\!\sqcup 43 = 1243 + 1423 + 1432 + 4123 + 4132 + 4312\,. \tag{7}$$

For a word $w = w_1 \ldots w_n$ over the integers, and $k \in \mathbb{N}$, we denote by $w[k]$ the *shifted word*

$$w[k] := (w_1 + k) \cdot (w_2 + k) \cdots (w_n + k). \tag{8}$$

The *shifted shuffle* of two permutations $\alpha \in \mathfrak{S}_k$ and $\beta \in \mathfrak{S}_l$ is then defined by

$$\alpha \Cup \beta := \alpha \sqcup\!\sqcup (\beta[k]). \tag{9}$$

Compositions

A *composition* of an integer n is a sequence of positive integers of sum n. The *descent set* $\mathrm{Des}(I)$ of a composition $I = (i_1, \ldots, i_r)$ is the set of partial sums $\{i_1, i_1 + i_2, \ldots, i_1 + \cdots + i_r\}$. Compositions are ordered by $I < J$ iff $\mathrm{Des}(I) \subset \mathrm{Des}(J)$. In this case, we say that I is *coarser* than J.

The *descent composition* $I = C(\sigma)$ of a permutation σ is the composition of n whose descents are equal to the descents of σ, that is, the set of integers j such that $\sigma(j) > \sigma(j + 1)$.

If $I = (i_1, \ldots, i_r)$ is a composition of n, let $D_{\leq I}$ be the sum of all permutations whose descent composition is coarser than or equal to I. Then

$$D_{\leq I} = (\mathrm{id}_{i_1} \Cup \mathrm{id}_{i_2} \Cup \cdots \Cup \mathrm{id}_{i_r})^{\vee} \tag{10}$$

where $^{\vee}$ is the linear involution sending each permutation to its inverse. The sum of all permutations whose descent composition is I will be denoted by D_I.

Recall that the algebra of noncommutative symmetric functions $\mathbf{Sym}$ is defined as the free associative algebra on symbols S_n so that a basis is given by the $S^I = S_{i_1} \cdots S_{i_r}$ for all compositions $I = (i_1, \ldots, i_r)$ [7]. We will make use of this basis of $\mathbf{Sym}$ and of the ribbon basis R_I defined by

$$S^I := \sum_{J \leq I} R_J. \tag{11}$$

When A is an ordered alphabet, $S_n(A)$ can be realized as the sum of all nondecreasing words in A^n. The commutative image of $\mathbf{Sym}$ is the algebra of symmetric functions. The S_n are mapped to the usual complete homogeneous functions h_n, and the R_I to the ribbon Schur functions r_I.

Codes

Let us say that a sequence $a = (a_1, \ldots, a_n)$ is *sub-diagonal* if $0 \leq a_i \leq n - i$. A *code* is a bijection between the symmetric group $\mathfrak{S}_n$ and the set of sub-diagonal sequences of length n. Among known codes, we will be interested in the *Lehmer code* and the *major code*.

Recall that the *Lehmer code* (or *Lcode*, for short) $\mathrm{Lc}(\sigma)$ of a permutation $\sigma \in \mathfrak{S}_n$ is the sequence $(c_i)_{1 \leq i \leq n}$, where

$$c_i = \mathrm{Card}\{j > i \mid \sigma_j < \sigma_i\}. \tag{12}$$

For example, the code of the permutation 531962487 is 420520010.

The Lehmer code of the inverse permutation will be called the *Inversion code* (or *invcode*, for short). It is the sequence $\mathrm{Ic}(\sigma) = (a_1, \dots, a_n)$, where a_i is the number of values greater than i to its left. In other words, the invcode, as the Lehmer code, splits the inversions of σ into blocks.

Since the number of inversions of a permutation is the sum of the components of its code, one may look for an analogous vector having as sum the major index. The *major code* (or *majcode*, for short) solves this question. It is implicit in Carlitz [1] and explicitly stated by Rawlings in [13] (see also [14]). Recall that the *major index* maj of a permutation is the sum of the positions of its descents. Now, for $\sigma \in \mathfrak{S}_n$, denote by $\sigma^{(i)}$ the subword of σ obtained by erasing the letters smaller than i, so that $\sigma = \sigma^{(1)}$. Then the majcode $\mathrm{Mc}(\sigma)$ of σ is the sequence $(c_i)_{1\le i\le n}$, where $c_n = 0$ and

$$c_i = \mathrm{maj}(\sigma^{(i)}) - \mathrm{maj}(\sigma^{(i+1)}), \tag{13}$$

for all $1 \le i \le n-1$. For example, $\mathrm{Mc}(935721468) = 501012010$.

Finally, the *sorted vector* $V^{\uparrow}$ of a vector $(v_1, \dots, v_n)$ is its nondecreasing rearrangement.

3 Cayley trees and codes

3.1 From differential equations to trees

Cayley [2] introduced trees in order to solve the differential equation

$$\frac{dx}{dt}(t) = V(x(t)), \tag{14}$$

where V is a vector field, that is, a function from $\mathbb{R}^d$ to itself.

Formally, the special case $d = 1$ gives the following values for the coefficients $x_n = d^n x/dt^n(0)$ of the Taylor expansion at $t = 0$ of the solution:

$$x_1 = V_0 \tag{15}$$
$$x_2 = V_1 V_0 \tag{16}$$
$$x_3 = V_2 V_0^2 + V_1^2 V_0 \tag{17}$$
$$x_4 = V_3 V_0^3 + 4\,V_2 V_1 V_0^2 + V_1^3 V_0 \tag{18}$$
$$x_5 = V_4 V_0^4 + 7\,V_3 V_1 V_0^3 + 4\,V_2^2 V_0^3 + 11\,V_2 V_1^2 V_0^2 + V_1^4 V_0 \tag{19}$$
$$\begin{aligned} x_6 = {} & V_5 V_0^5 + 11\,V_4 V_1 V_0^4 + 15\,V_3 V_2 V_0^4 + 32\,V_3 V_1^2 V_0^3 + 34\,V_2^2 V_1 V_0^3 \\ & + 26\,V_2 V_1^3 V_0^2 + V_1^5 V_0 \end{aligned} \tag{20}$$

where V_n is $\frac{d^n V}{dx^n}(x(0))$.

Assuming without loss of generality that $V_0 = 1$, we have in the one-dimensional case

$$x_{n+1} = C_n(V_1, \dots, V_n), \tag{21}$$

where the polynomials C_n reduce to the Eulerian polynomials

$$C_n(q, \dots, q) = A_n(q) \tag{22}$$

when all the V_i are equal to q.

The polynomials C_n giving the Taylor coefficients of the unique solution of $\frac{dx}{dt} = V(x(t))$ with $x(0) = 0$ and $V(0) = 1$ should be compared to the exponential Bell polynomials

$$B_n(x_1, \dots, x_n) = \sum_k B_{n,k}(x_1, \dots x_{n+1-k}) \tag{23}$$

giving the Taylor coefficients of $y(t) = V(x(t))$

$$y_n = \sum_{k=0}^{n} V_k B_{n,k}(x_1, \dots, x_{n+1-k}). \tag{24}$$

Both calculations are related to the so-called Faà di Bruno Hopf algebra [5]. The C_n are to the Eulerian polynomials what the B_n are to the (one variable) Bell polynomials

$$b_n(q) = B_n(q, \dots, q)\,. \tag{25}$$

It is immediate that the sum of the coefficients of the monomials V^α in x_{n+1} is $n!$, so that the exponent vector α should be interpretable as a multivariate statistic on the symmetric group $\mathfrak{S}_n$. Alain Lascoux observed that this statistic seems to coincide with the sorted evaluation $\mathrm{ev}(\mathrm{Lc}(\sigma))^\uparrow$ of the Lehmer code, which is fundamental in the theory of Schubert and Grothendieck polynomials [9]. The codes of the permutations of $\mathfrak{S}_3$ are

$$000,\ 010,\ 100,\ 110,\ 200,\ 210, \tag{26}$$

whose evaluations are

$$3000,\ 2100,\ 2100,\ 1200,\ 2010,\ 1110, \tag{27}$$

giving back the exponents of x_4. More generally, one has

$$x_n = \sum_{\sigma\in\mathfrak{S}_{n-1}} V^{\mathrm{lc}(\sigma)}. \tag{28}$$

We shall see that a better way to understand this formula is to rely upon another statistic on permutations coming up more naturally than the Lehmer code from differential equations, namely the *saillance code*. It comes from decreasing tree structures on permutations appearing in the d-dimensional case of Equation (14). When $d > 1$, the derivatives V_i have to be replaced by the differentials D^kV defined by

$$[D^kV(U_1,\dots U_k)]_i : \sum_{j_1\dots j_k=1}^{d} \frac{\partial^k[V]_i}{\partial x_{j_1}\dots\partial x_{j_k}}[U_1]_{j_1}\dots[U_k]_{j_k}\,, \tag{29}$$

where U_j is any vector field and $[U_j]_i$ denotes the i-th coordinate of U_j. If the U_i are evaluated at $x(t)$,

$$\frac{d(D^kV(U_1,\dots,U_k))}{dt} \;=\; D^{k+1}V(U_1,\dots,U_k,V) \;+\; \sum_{i=1}^{k} D^kV(U_1,\dots,\frac{dU_i}{dt},\dots,U_k)\,. \tag{30}$$

The calculation of the Taylor coefficients $x_{n+1} = d^n/dt^n V(x(t))$ at $t=0$ gives rise to nested derivatives, which can be conveniently represented by (unlabelled rooted) trees (sequence A000081 in [15]).

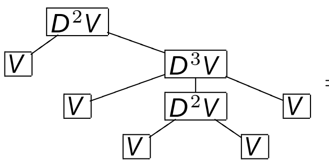
Define D_TV recursively by: if the root of T has k subtrees $T_1,\dots,T_k$,

$$D_TV := D^kV(D_{T_1}V,\dots,D_{T_k}V)\,, \tag{31}$$

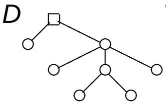
with the convention $D^0V = V$.

For example,

$$D^2V(V, D^3V(V, D^2V(V,V), V)) \;=$$



$$= D$$

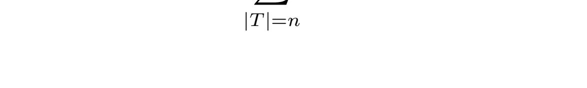


$$V. \tag{32}$$

With this notation, the derivation rule becomes

$$\frac{d(D_TV)}{dt} = \sum_{T'} D_{T'}V, \tag{33}$$

where T' runs over the set of trees obtained from T by adding a leaf to a node of T. For example, writing only the trees in the previous equation, one has



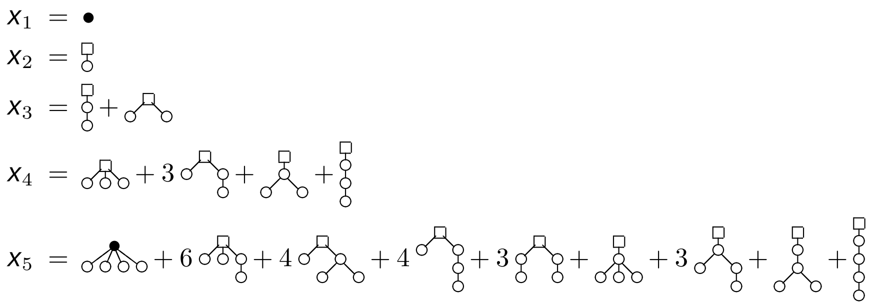
(34)

We have thus, for each integer n, an expression

$$x_n = \sum_{|T|=n} \alpha_T D_TV, \tag{35}$$

where the α_T are positive integers, sometimes known as the Connes-Moscovici coefficients [4, 3].

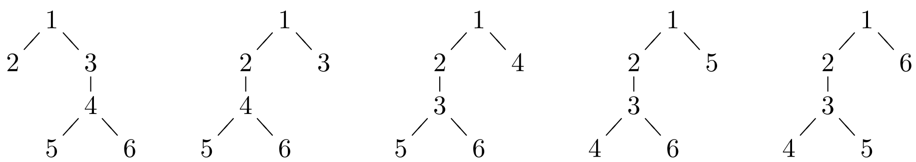
The first values are



(36) (37) (38) (39) (40)

3.2 From trees to permutations and statistics

It is easy to see that the coefficient α_T is equal to the number of *increasing trees* of shape T, that is, the set of trees obtained by labelling the nodes of T by the integers from 1 to n so that the label of each node is greater than the label of its parent. Recall that increasing trees have a *canonical form* that consists in ordering the children of each node so that they are increasing from left to right. For example, written in canonical form, here are the five increasing trees of a given shape.



(41)

Recall that the total number of increasing trees of size n is $(n-1)!$. There exist many different bijections with permutations of size $n-1$. We shall make use of the following one. Start from an increasing tree of size n, replace each label l in T by $n+1-l$, then reorder the (now decreasing) tree in canonical form and read it in prefix order, forgetting the root. For example, the permutations corresponding to the increasing trees of (41) are

$$43125, \qquad 45312, \qquad 35412, \qquad 25413, \qquad 15423. \tag{42}$$

The polynomials (21) (for $d=1$) are obtained by replacing each tree T by the monomial

$$\prod_{o\in\mathrm{nodes}(T)} V_{\mathrm{arity}(o)}. \tag{43}$$

The resulting statistic on trees can be interpreted as the evaluation of a code:

Definition 3.1 *The* saillance code *(or* scode, *for short)* $\mathrm{Sc}(T)$ *of a tree* T *of size* n *as the sequence of labels of the parents (minus one) of* $n, n-1, \ldots, 2$.

For example, the scode of the trees of (41) are

$$33200, \qquad 33100, \qquad 22010, \qquad 20210, \qquad 02210. \tag{44}$$

4 Properties of the scode

The scode can be directly defined on permutations as follows: the scode of a permutation $\sigma \in \mathfrak{S}_n$ is the sequence $a = (a_1, \ldots, a_n)$, where a_i is the number of letters of σ greater than or equal to the rightmost letter to the left of i and greater than i.

For example, one can check that the scodes of the permutations of (42) are the sequences of (44).

Proposition 4.1 *The scode is a code.*

Proof – Since a_i counts a number of letters in σ all greater than i, the sequence a is sub-diagonal. Moreover, thanks to the bijection between permutations and increasing trees, and to the fact that the scode is obviously injective from trees, the scode is a bijection. ∎

The algorithm giving back the permutation from its scode $a = (a_1, \ldots, a_n)$ is as follows: put n and then insert letters $n-1$ to 1 such that i is inserted immediately after letter $n+1-a_i$ (and first if $a_i = 0$).

Proposition 4.2 *Interpreting a sub-diagonal sequence as the scode of a permutation, the number of descents of this permutation is given by the number of distinct non-zero values in its scode.*

Proof – The number of descents of a permutation is equal to the number of internal nodes except for the root of the corresponding increasing tree, which is clearly equal to the number of distinct non-zero values of its scode. ■

It is known that the generating function of the Lehmer code (up to order) over a class $D_{\leq I}$ admits a closed expression, as a product of complete symmetric functions over a flag of alphabets. This property is also true for the scode. Let us first introduce for all $n \geq 0$, the alphabet $X_n = \{x_0, \dots, x_n\}$ where the x_i are commuting indeterminates. With a given sub-diagonal sequence c, we associate the monomial

$$x_c = x_{c_1} x_{c_2} \cdots x_{c_n} \in X_{n-1} \times X_{n-2} \times \cdots \times X_0. \tag{45}$$

Theorem 4.3 *Let $I = (i_1, \dots, i_r)$ be a composition of n. The sum of the scodes of the inverses of the elements of $D_{\leq I}$ are given by the generating function*

$$\begin{aligned} F_S(I) := \sum_{\sigma \in D_{\leq I}} x_{\mathrm{Sc}(\sigma^{-1})} & \sum_{\sigma \,\in\, \mathrm{id}_{i_1} \Cup \cdots \Cup \mathrm{id}_{i_r}} x_{\mathrm{Sc}(\sigma)} \\ &= h_{i_1}(X_{i_2+\cdots+i_r})\, h_{i_2}(X_{i_3+\cdots+i_r}) \cdots h_{i_{r-1}}(X_{i_r})\, h_{i_r}(X_0). \end{aligned} \tag{46}$$

The right-hand side will be denoted by $h^I(\mathbf{X}_I)$, where $\mathbf{X}_I$ denotes the flag of alphabets

$$(X_{n-i_1}, X_{n-i_1-i_2}, \dots, X_{n-n}). \tag{47}$$

The proof relies on the following lemmas.

Let $\beta \in \mathfrak{S}_n$. For $0 \leq i \leq n$, denote by $1 \Cup_i \beta$ the term of the shifted shuffle $1 \Cup \beta$ in which 1 occurs at the $(i+1)$-st position, *e.g.*, $1 \Cup_0 21 = 132$; $1 \Cup_1 21 = 312$; $1 \Cup_2 21 = 321$.

Lemma 4.4 *Let $\beta \in \mathfrak{S}_n$. Then*

$$x_{\mathrm{Sc}(1 \Cup_i \beta)} = x_{\tau_S(\beta)(i)} x_{\mathrm{Sc}(\beta)}, \tag{48}$$

where $\tau_S(\beta)$ is the permutation of $\{0, \dots, n\}$ defined by

$$\tau_S(\beta)(0) = 0, \qquad \text{and} \qquad \tau_S(\beta)(i) = n + 1 - \beta(i). \tag{49}$$

For example,

$$\begin{aligned} \beta =&\ 9\,4\,1\,6\,2\,5\,7\,3\,8 \\ \tau_S(\beta) =&\ 0\,1\,6\,9\,4\,8\,5\,3\,7\,2 \end{aligned} \tag{50}$$

Proof – Let $\beta' = 1 \Cup_i \beta$. It is obvious that $\mathrm{Sc}_{i+1}(\beta') = \mathrm{Sc}_i(\beta)$ for $i \in [1, n]$. So $x_{\mathrm{Sc}(\beta')}/x_{\mathrm{Sc}(\beta)} = x_{\mathrm{Sc}_1(\beta')}$. The rightmost value to the left of 1 and greater than 1 is its neighbour to the left. And the number of values greater than or equal to this last value is its complement to $n+1$, so that it corresponds to the definition of τ. ■

Lemma 4.5 *Let $\beta \in \mathfrak{S}_n$, let k be an element of $[0, n]$ and let $\beta' = 1 \Cup_k \beta$. Then*

$$\tau_S(\beta')(i) = \tau_S(\beta)(i) \tag{51}$$

for $i \in [0, k]$. ■

For example, given $\beta = 72451836$, we have $\tau_S(\beta) = 027548163$. The case $k = 3$ gives $\beta' = 835162947$ and $\tau_S(\beta') = 0275948163$. The case $k = 7$ gives $\beta' = 835629417$ and $\tau_S(\beta') = 0275481693$.

Lemma 4.6 *Let $\beta \in \mathfrak{S}_n$. Then the scodes of the elements in $id_k \Cup \beta$ are*

$$(\tau_S(\beta)(i_1), \tau_S(\beta)(i_2), \dots, \tau_S(\beta)(i_k), \mathrm{Sc}(\beta)) \tag{52}$$

where (i_j) runs over nondecreasing sequences in $[0, n]$, $0 \leq i_1 \leq i_2 \leq \ldots \leq i_k \leq n$.

In particular, we have

$$\sum_{\sigma \in \mathrm{id}_k \Cup \beta} x_{\mathrm{Sc}(\sigma)} = h_k(X_n)\, x_{\mathrm{Sc}(\beta)}. \tag{53}$$

Proof – It is sufficient to prove the result for $k = 2$. The computation of $12 \Cup \beta$ can be decomposed as the shifted shuffle of 1 with β followed by the shifted shuffle of 1 with the new elements where 1 cannot go to the right of 2. Since the set of values $\tau_S(\beta')(i)$ for all i in $[0, k]$ where k is the position of 1 in β' is equal to the set of values $\tau_S(\beta)(i)$ for all i in $[0, k]$, we are done for the first part of the lemma.

Then, since τ is a permutation of $[0, n]$, the commutative image of the sum of all words $a_{\tau_\beta(i_1)} \dots a_{\tau_\beta(i_k)}$ where (i_j) are the nondecreasing sequences, is $h_k(X_n)$. ■

A slightly more general result can be derived from the previous considerations: if one considers a noncommutative ordered alphabet $A_n\{a_0 < \dots < a_n\}$ instead of X_n, so that one associates with a subdiagonal word c the word $a_c = a_{c_1} a_{c_2} \cdots a_{c_n}$, the noncommutative series generalizing Equation (53) reads

$$\sum_{\sigma \in \mathrm{id}_k \Cup \beta} a_{\mathrm{Sc}(\sigma)} = S_k(A'_n) a_{\mathrm{Sc}(\beta)}, \tag{54}$$

where A'_n is the ordered alphabet on $\{a_0, \dots, a_n\}$ where $a_i < a_j$ if i is to the left of j in $\tau_S(\beta)$. This property follows directly from Equation (51).

5 Noncommutative and commutative generating function for codes

We have already mentioned that the evaluations of the scodes and of the invcodes are the same over the symmetric group, which is obvious since both are codes and hence run over the set of sub-diagonal words. This proves the observation of Lascoux. Actually, the scode and the invcode have much more in common. The key result is that the sorted vectors $\mathrm{Sc}(\sigma)^\uparrow$ and $\mathrm{Ic}(\sigma)^\uparrow$ have the same distribution on inverse descent classes, a property also shared by the majcode (see Section 6). Equation (46) of Theorem 4.3 gives the closed expression of the generating function of these statistics.

Theorem 5.1 *Let $I = (i_1, \dots, i_r)$ be a composition of n. The sum of the invcodes of the inverses of the elements of $D_{\leq I}$ is given by the noncommutative generating function*

$$\begin{aligned} F_S(I) := \sum_{\sigma \in D_{\leq I}} a_{\mathrm{Ic}(\sigma^{-1})} &\sum_{\sigma \in \mathrm{id}_{i_1} \Cup \cdots \Cup \mathrm{id}_{i_r}} a_{\mathrm{Ic}(\sigma)} \\ &= S_{i_1}(A_{i_2+\cdots+i_r})\, S_{i_2}(A_{i_3+\cdots+i_r}) \cdots S_{i_{r-1}}(A_{i_r})\, S_{i_r}(A_0). \end{aligned} \tag{55}$$

This right-hand side will be denoted by $S^I(\mathbf{A}_I)$, where $\mathbf{A}_I$ denotes the flag of alphabets

$$(A_{n-i_1}, A_{n-i_1-i_2}, \dots, A_{n-n}). \tag{56}$$

Proof – The proof proceeds by induction on the number of parts of I. If I has one part, the only permutation is the identity and the statement is obvious. Assuming the result for the composition $(i_2, \dots, i_r)$, let us prove it for I. First, let σ be an element of $\mathrm{id}_{i_2} \Cup \cdots \Cup \mathrm{id}_{i_r}$ and let y be any element in $\mathrm{id}_{i_1} \Cup \sigma$. Then, $\mathrm{Ic}_{i_1+k}(y) = \mathrm{Ic}_k(\sigma)$ for all k. Moreover, the sequence $\mathrm{Ic}_k(y)$ for $k \in [1, i_1]$ is nondecreasing, since $1, \dots, i_1$ are in this order in y, and it is bounded by the number of letters of σ, that is, $i_2 + \cdots + i_r$.

Since the invcode is a bijection, no two words y can have the same code, hence the same first i_1 values, since the other ones are identical. Finally, the number of elements in $\mathrm{id}_{i_1} \Cup \sigma$ is equal to the number of nondecreasing sequences of size k in $[0, i_2 + \cdots + i_r]$ (a binomial coefficient), so that all sequences appear, and the sum of the invcodes of all elements in $\mathrm{id}_{i_1} \Cup \sigma$ is $S_{i_1}(A_{i_2+\cdots+i_r}) a_{\mathrm{Ic}(\sigma)}$. ■

Corollary 5.2 *The invcodes of the permutations in an inverse descent class are given by*

$$\sum_{\sigma \in D_I} a_{\mathrm{Ic}(\sigma^{-1})} = \sum_{J \leq I} (-1)^{l(I)-l(J)} S^J(\mathbf{A}_J) =: R_I(\mathbf{A}_I). \tag{57}$$

Taking the commutative image ($a_i \to x_i$), we recover the following expression (see [11], chap. 11):

Corollary 5.3 *The commutative generating series for the codes on a descent class is given by the following determinant (a flagged ribbon Schur function)*

$$r_I(\mathbf{X}_I) : \begin{vmatrix} h_{i_1}(X_{n-i_1}) & h_{i_1+i_2}(X_{n-i_1-i_2}) & \cdots & h_{i_1+\cdots+i_r}(X_0) \\ 1 & h_{i_2}(X_{n-i_1-i_2}) & \cdots & h_{i_2+\cdots+i_r}(X_0) \\ & 1 & \ddots & \vdots \\ & & \ddots & \\ & & 1 & h_{i_r}(X_0) \end{vmatrix} \tag{58}$$

It is interesting to observe that this flagged Schur function is in fact a Schubert polynomial [9, 10]. For example, with $I = (5, 1, 2)$, one gets the following determinant:

$$r_{512}(X_3, X_2, X_0) \begin{vmatrix} h_5(X_3) & h_6(X_2) & h_8(X_0) \\ 1 & h_1(X_2) & h_3(X_0) \\ 0 & 1 & h_2(X_0) \end{vmatrix} = Y_{20150000}. \tag{59}$$

Corollary 5.4 *The commutative generating series of* $\mathrm{Sc}(\sigma^{-1})$ *on a descent class coincides with that of* $\mathrm{Ic}(\sigma^{-1})$*:*

$$\sum_{\sigma\in D_I} x_{\mathrm{Sc}(\sigma^{-1})} \sum_{\sigma\in D_I} x_{\mathrm{Ic}(\sigma^{-1})} = r_I(\mathbf{X}_I). \tag{60}$$

In other words, the sorted vectors $\mathrm{Ic}(\sigma^{-1})^{\uparrow}$ *and* $\mathrm{Sc}(\sigma^{-1})^{\uparrow}$ *have the same distribution on a descent class.*

For example, (61), (62), (63) present the 19 permutations with descent composition $(2, 1, 1, 2)$ and their the invcodes and inverse scodes. Both statistics give the sequences of (64) when sorted.

$$\begin{gathered} 154326, 164325, 165324, 165423, 254316, 264315, 265314, 265413, 354216, 364215, \\ 365214, 365412, 453216, 463215, 465213, 465312, 563214, 564213, 564312. \end{gathered} \tag{61}$$

$$\begin{gathered} 032100, 042100, 043100, 043200, 132100, 142100, 143100, 143200, 232100, 242100, \\ 243100, 243200, 332100, 342100, 343100, 343200, 442100, 443100, 443200. \end{gathered} \tag{62}$$

$$\begin{gathered} 043200, 013200, 041200, 043100, 243200, 213200, 241200, 243100, 343200, 313200, \\ 341200, 143100, 443200, 413200, 141200, 343100, 113200, 441200443100. \end{gathered} \tag{63}$$

$$\begin{gathered} 000123, 000124, 001123, 000134, 001124, 001223, 000234, 001134, 001224, 001233, \\ 001234, 001234, 001234, 001244, 001334, 002234, 001344, 002334, 002344. \end{gathered} \tag{64}$$

Note that one can reinforce the parallel between the scode and the invcode by observing that the sequence of lemmas used in the scode case could be directly translated in the invcode one, the only modification being the definition of τ_S, which would be here

$$\tau_I(\beta) = (0, 1, \dots, n). \tag{65}$$

The fact that τ_I does not depend on β and satisfies the same property as in Equation (51) is a satisfactory way to explain why the invcode has a noncommutative formula over the shuffle classes whereas τ_S has not. We shall see in the next Section that Equation (51) does not hold for the τ_M, the permutation corresponding to the majcode and that this property explains why there is no noncommutative formula for this code.

6 Generating function for majcodes

Theorem 6.1 *The commutative generating function of* $\mathrm{Mc}(\sigma^{-1})$ *on a descent class coincide with that of* $\mathrm{Ic}(\sigma^{-1})$*:*

$$\sum_{\sigma\in D_I} x_{\mathrm{Mc}(\sigma^{-1})} = \sum_{\sigma\in D_I} x_{\mathrm{Ic}(\sigma^{-1})} = r_I(X_I). \tag{66}$$

In other words, the sorted vectors $\mathrm{Mc}(\sigma^{-1})^{\uparrow}$ *and* $\mathrm{Ic}(\sigma^{-1})^{\uparrow}$ *have the same distribution on a descent class.*

For example, (67) presents the majcodes of the 19 permutations of (61). This statistic also gives the sequences of (64) when sorted.

$$\begin{gathered} 332100, 342100, 343100, 343200, 232100, 242100, 243100, 443200, 132100, 142100, \\ 443100, 243200, 032100, 442100, 143100, 143200, 042100, 043100, 043200. \end{gathered} \tag{67}$$

By inclusion-exclusion, the statement of the theorem is equivalent to

$$\sum_{\sigma\ \in\ \mathrm{id}_{i_1}\Cup\cdots\Cup\,\mathrm{id}_{i_r}} x_{\mathrm{Mc}(\sigma)} \qquad \sum_{\sigma\ \in\ \mathrm{id}_{i_1}\Cup\cdots\Cup\,\mathrm{id}_{i_r}} x_{\mathrm{Ic}(\sigma)} \tag{68}$$

for all compositions $I = (i_1, \dots, i_r)$. This result is a consequence of the next four lemmas.

7 Codes and Euler-Mahonian statistics

Let us say that a general code Gc is *compatible with the shuffle* if the codes of $1 \Cup \beta$ are of the form $(i, \mathrm{Gc}(\beta))$. Since the code is a bijection, one can then define $\tau(\beta)$ as the permutation of $\{0, \ldots, n\}$ obtained by sending i to the first value of the code of $1 \Cup_i \beta$. For example, the scode, the invcode, and the majcode are compatible with the shuffle, the corresponding permutations $\tau(\beta)$ being respectively as defined in Equations (49), (65), (70). We then say that a general code Gc compatible with the shuffle is an *acceptable code* if, for all permutations β and all integers k,

$$\{\tau_G(\beta')(i) \mid i \in [0, k]\} = \{\tau_G(\beta)(i) \mid i \in [0, k]\}. \tag{74}$$

where $\beta' = 1 \Cup_k \beta$. For example, the scode, the invcode, and the majcode are acceptable codes, as it is trivial for the invcode and already done in Lemma 4.5 for the scode and in Lemma 6.4 for the majcode.

The following Lemma is proved exactly as Lemma 6.5, by induction on k.

Lemma 7.1 *Let us consider an acceptable code* Gc.

Let $\beta \in \mathfrak{S}_n$ and k be an integer. Then consider the set of the sorted k first components of the Gcodes of the elements in $\mathrm{id}_k \Cup \beta$. This set is exactly the set of all sequences

$$(0 \le i_1 \le i_2 \le \cdots \le i_k \le n). \tag{75}$$

In particular, we have

$$\sum_{\sigma \in \mathrm{id}_k \Cup \beta} x_{\mathrm{Gc}(\sigma)} = h_k(X_n)\, x_{\mathrm{Gc}(\beta)}. \tag{76}$$

This implies the following theorem, which contains Theorems 4.3 and 5.4, and Corollary 6.1 as particular cases.

Theorem 7.2 *Let us consider an acceptable code* Gc. *Then the commutative generating series of* $\mathrm{Gc}(\sigma^{-1})$ *on a descent class coincides with that of* $\mathrm{Ic}(\sigma^{-1})$*:*

$$\sum_{\sigma \in D_I} x_{\mathrm{Gc}(\sigma^{-1})} = \sum_{\sigma \in D_I} x_{\mathrm{Ic}(\sigma^{-1})} = r_I(X_I). \tag{77}$$

In other words, the sorted vectors $\mathrm{Gc}(\sigma^{-1})^{\uparrow}$ *and* $\mathrm{Ic}(\sigma^{-1})^{\uparrow}$ *have the same distribution on a descent class.*

Corollary 7.3 *Let* Gc *be an acceptable code. Then the bi-statistic*

$$\left(\sum_{i \in \mathrm{Gc}(\sigma^{-1})} i, \quad \mathrm{des}(\sigma) \right) \tag{78}$$

is Euler-Mahonian.

8 An equivalence related to sorted codes

The previous sections showed the importance of the sorted codes. We present here a simple construction relating all permutations having a given sorted Lcode. Let us say that two words u and v are *L-adjacent* if there exists four words w_1, w_2, w_3, w_4 and three letters $a < b < c$ such that

$$\begin{aligned} u &= w_1\, a\, w_2\, c\, w_3\, b\, w_4, \\ v &= w_1\, b\, w_2\, a\, w_3\, c\, w_4, \end{aligned} \tag{79}$$

where all letters of w_2 are greater than b, and all letters of w_3 and w_4 are either smaller than b or greater than c. The *L-equivalence* is the transitive closure of the relation of L-adjacency. That is, two words u, v are L-equivalent if there exists a chain of words $u = u_1, u_2, \ldots, u_k = v$, such that u_i and u_{i+1} are L-adjacent for all i. In this case, we write $u \sim v$. For example, the L class of $w = 31452$ is the set $\{13542, 14352, 21543, 23514, 24153, 24315, 31452, 32154, 32415\}$. This is *not* a congruence on A^*.

Lemma 8.1 *If u and v are L-adjacent, the sorted Lcode of u and v are equal.*

Proof – It is easy to check that the parts of the code corresponding to the subwords w_i are the same in the code of u and in the code of v. Moreover, the part of the code corresponding to a (resp. b, c) in u is the same as the part of the code corresponding to a (resp. c, b) in v. ■

For example 738694152 and 758634192 are L-adjacent ($a = 3$, $b = 5$, $c = 9$) and their Lcodes are $\mathrm{Lc}(u) = 625442010$ and $\mathrm{Lc}(v) = 645422010$.

Lemma 8.2 *Let w be a word avoiding the pattern 132. Then there exists w' greater than w in the lexicographic order such that w and w' are L-adjacent.*

Proof – Let w be a word containing the pattern 132. Then there exists an occurrence of the pattern acb such that the difference $c - b$ is minimal. Then take a as the rightmost element smaller than b to the left of c. This element is L-adjacent to the element obtained by changing a into b, c into a and b into c. Indeed, by construction, all the words w_2, w_3, and w_4 satisfy the hypotheses of L-adjacency. ■

Proposition 8.3 *Two words u and v are L-equivalent iff u and v have same sorted Lcode.*

Proof – The number of sorted Lcodes is a Catalan number, also counting the permutations avoiding 132. By Lemma 8.1, the number of L classes is greater than or equal to the Catalan number. By Lemma 8.2, the number of L classes is smaller than or equal to the same Catalan number. So the number of L classes is given by the same Catalan number and the classes are the same as the classes of words by sorted Lcode. ■

Hence, each L class contains exactly one permutation avoiding 132 (its greatest element). In the same way, one can prove that each L class contains exactly one permutation avoiding 213 (its smallest element). The map sending a permutation to the maximal element of its L-class consists in sorting the Lcode of the permutation, whereas the map sending a permutation to the minimal element of its L-class consists in building, from right to left, the permutation of its Lcode that has the greatest possible value in each position. For example, with $\sigma = 682547193$ whose Lcode is 561322010, the maximal element of its L-class has Lcode 653221100, so is permutation 764352819, and the minimal element of its L-class has Lcode 016523210, so is 139857642.

References

[1] L. CARLITZ, *q-Bernoulli and Eulerian numbers*, Trans. Amer. Math. Soc. **76** (1954), 332–350.

[2] A. CAYLEY, *On the theory of the analytical forms called trees*, Phil. Mag., (1857), 13:172-6.

[3] F. CHAPOTON, *Algèbres pré-Lie et algèbres de Hopf liées à la renormalisation*, C. R. Acad Sci. Paris, **332** Série I (2001), 681–684.

[4] A. CONNES and H. MOSCOVICI, *Hopf algebras, cyclic cohomology and the transverse index theory*, Commun. Math. Phys. **198** (1998), 198–246.

[5] H. FIGUEROA and J.-M. GRACIA-BONDIA *Combinatorial Hopf algebras in quantum field theory I* Rev. Math. Phys. **17** (2005) 881.

[6] D. FOATA and M. P. SCHÜTZENBERGER, *Major index and inversion number of permutations*, Math. Nachr. **83** (1970), 143–159.

[7] I.M. GELFAND, D. KROB, A. LASCOUX, B. LECLERC, V. S. RETAKH, and J.-Y. THIBON, *Noncommutative symmetric functions*, Adv. in Math. **112** (1995), 218–348.

[8] F. HIVERT and N. THIÉRY, *MuPAD-Combinat, an open-source package for research in algebraic combinatorics*, Sém. Lothar. Combin. **51** (2004), 70p. (electronic).

[9] A. LASCOUX, *Symmetric functions and combinatorial operators on polynomials*, CBMS Regional Conference Series in Mathematics **99**, American Math. Soc., Providence, RI, 2003; xii+268 pp.

[10] A. LASCOUX, *Schubert et Grothendieck: un bilan bidécennal*, Sém. Loth. Comb. **50** (2004), B50i.

[11] M. LOTHAIRE, *Algebraic combinatorics on words*, Cambridge, 2002.

[12] P. A. MACMAHON, *The indices of permutations and derivation therefore of functions of a single variable associated with the permutations of any assemblage of objects*, Amer. J. Math. **35** (1913), 314–321.

[13] D.P. RAWLINGS, *Generalized Worpitzky identities with application to permutation enumeration*, Europ. J. Combin. **2** (1981), 67–78.

[14] M. SKANDERA, *An Eulerian Partner for Inversions*, Sém. Lothar. Combin. **46** (2001) B46d.

[15] N.J.A. SLOANE, *The On-Line Encyclopedia of Integer Sequences*, `http://www.research.att.com/~njas/sequences/`